I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 3/18/02

Signature: (List J. Michaud)

Docket No.: 102863-16

(PATENT)

MAR 2 6 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In a Patent Application of: Parris S. Wellman et al..

COPY OF PAPERS ORIGINALLY FILED

Application No.: 10/032,296

Group Art Unit: 3762

Filed: December 21, 2002

Examiner: Not Yet Assigned

For: EXPANDABLE INTRACARDIAC RETURN

ELECTRODE AND METHOD OF USE

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts

Commissioner for Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed January 28, 2002, Applicants respectfully submit:

- Check in the amount of \$870.00 to cover: Basic filing fee in the amount of \$740.00 and surcharge fee in the amount \$130.00;
- Copy of Part 2, Notice to File Missing Parts of Application, dated August 9, 2001;
- Combined Declaration and Power of Attorney executed by Applicants Parris S. Wellman, Rajesh Pendekanti and Jia Hua Xiao; and
- Return Postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 101886-0006. A duplicate copy of this paper is enclosed.

Dated: March 18,2002

Respectfully submitted

NUTTER, MCCLENNEN & FISH, LLP

One International Place

Boston, Massachusetts 02110-2699

Tel: (617) 439-2550 Fax: (617) 310-9550 Reg. No.: 44,238
Attorney for Applicant

BEST AVAILABLE COPY



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/032,296

12/21/2001

Rajesh Pendekanti

102863-0016

021125 **NUTTER MCCLENNEN & FISH LLP** ONE INTERNATIONAL PLACE **BOSTON, MA 02110**

CONFIRMATION NO. 6368 FORMALITIES LETTER *QC000000007372570*

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2002 ABERHE

00000078 10032296

01 FC:101 02 FC:105

740.00 0P 130,00 OP BEST AVAILABLE COPY

Signature Date

Transmittal

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Dated: 3/18/02 Signature: (Lisa J. Michaud)

Lisa J. Michaud Reg. No. 44,238

Individual Name

COPY OF PAPERS **ORIGINALLY FILED**

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0851-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE									
Under the Paperwork Reduction Act of 1995, no persons are requ	nea to i	espond	to a	collection of information unless it displays a valid OMB control number.					
FEE TRANSMITTAL			Complete if Known						
• • • • • • • • • • • • • • • • • • • •				on Numb	er	10/032,296-Conf. #6368			
for FY 2002		Filing Date				December 21, 2001			
Patent fees are subject to annual revision.			First Named Inventor			Rajesh Pendekanti			
			iner	Name		Not Yet A	Assigned	** ** **	
Applicant claims small entity status. See 37 CFR 1.27			Art c	Unit		3762			
TOTAL AMOUNT OF PAYMENT (\$) \$870.00		Attorney Docket No.			o.	102863-0	016		
METHOD OF PAYMENT (check all that apply)	FEE CA				CALCU	LATION (∞	ontinued)		
X Check Credit Money Other None Deposit Account	3. A	3. ADDITIONAL FEES							
Deposit		Large Entity Small Entity							
Account 141449	Fee Code	Fee (\$)	Fee			Fee Desc	ription	Fee Paid	
Deposit	105	130	205	65	Surcharge	– late filing fe	e or oath	130.00	
Account Nutter McClennen & FISH LLP Name The Commissioner is hereby authorized to: (check all that apply)	127	50	227	25	Surcharge sheet.	charge – late provisional filing fee or cover			
Charge fee(s) indicated below X Credit any overpayments				130		on-English specification			
Charge any additional fee(s) during the pendency of this	147	2,520	147	2,520	•		parte reexamination	 	
application Charge fee(s) indicated below, except for the filling fee	112	12 920° 112 92			Requesting	equesting publication of SIR prior to caminer action			
to the above-identified deposit account.	113			1,840*	Requesting publication of SIR after Examiner action				
FEE CALCULATION	115	110	215	55		for reply within	n first month		
1. BASIC FILING FEE	116	400	216				n second month		
Large Entity Small Entity	117	920	217	460	Extension	for reply within	third month		
Fee Fee Fee Fee Pescription	118	1,440	218	720	Extension	for reply within	n fourth month		
Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension	for reply within	n fifth month		
106 330 206 165 Design filling fee	119	320	219		Notice of A				
107 510 207 255 Plant filing fee	120	320	220	160	Filling a bri	ef in support o	f an appeal		
108 740 208 370 Reissue filing fee	121	280	221	140	Request fo	or oral hearing			
114 160 214 80 Provisional filing fee	138	1,510	138	•		•	lic use proceeding		
SUBTOTAL (1) (\$) 740.00	140	110	240			revive – unav			
	141	1,280	241			revive - uninte			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from 500 Reid	142	1,280	242			Utility issue fee (or reissue)			
Claims below Fee Paid	143	460	243		Design issu				
Total Claims 20 -** = X =	144	620	244		Plant issue				
Independent 2 -** # X = =	122	130	122			the Commiss			
Multiple Dependent	123	50	123	50	Processing	g fee under 37	CFR 1.17(q)		
Large Entity Small Entity	126	180	126	180	000		n Disclosure Stmt		
Fee Fee Fee Code (\$) Code (\$) Fee Description	581	40	581	40		each patent a imes number of	ssignment per		
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a sul	bmission after	final rejection		
102 84 202 42 Independent claims in excess of 3					(37 CFR 1.129(a)) For each additional invention to be				
104 280 204 140 Multiple dependent claim, if not paid	149	740	249		examined (37CFR 1.129(b))				
109 84 209 42 ** Reissue independent claims over original patent	179	740	279		Request for Continued Examination (RCE) Request for expedited examination				
110 18 210 9 ** Reissue claims in excess of 20	169	of a design application							
and over original patent)	Other fee (specify)							
SUBTOTAL (2) (5) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3 (5)							130.00		
**or number previously paid, if greater; For Reissues, see above									
SUBMITTED BY	Conint	- Non Ak	_				(if applicable)		
Name (Print/Type) Lisa J. Michaud		ration No ey/Agent)		44,238		Telephone	(617) 439-2550)	

3/18/02 Signature

Fee Transmittal

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Dated: Mark 18,2002

(Lisa J. Michaud)